

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JAMES K. ARAGONES, ET AL

Group Art Unit: 2123

RECEIVED

Serial No.: 09/682,314

Examiner:

OCT 17 2001

Filed: August 17, 2001

Group 2100

For SYSTEM, METHOD AND COMPUTER PRODUCT
FOR BASELINE MODELING A PRODUCT OR
PROCESS

INFORMATION DISCLOSURE STATEMENT

1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**
 [within 3 months of filing or prior to 1st Office Action] N/C

2 **Statement Pursuant to 37 CFR 1.97(c)**
 [before Final Office Action or Allowance] N/C

3 **Fee Payment Pursuant to 37 CFR 1.97(c)**
 [before Final Office Action or Allowance] \$240.00

4 **Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**
 [before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier); and

8 The required Statement made in item 11 below; or

9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

10 37 CFR §1.97(d): [before issue fee payment]; and

- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and
- b) The required Statement is stated in item 11 below.

11 Statement under 37 CFR 1.97(e)

12 Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

13 No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

10/14/01

Date

David Goldman

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General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 - Salamone
Schenectady, New York 12301
Customer Number: 006147

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date of Deposit

Type or Print Name

Signature

FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-28,217

SERIAL NO.

09/682,314

Applicant

JAMES K. ARAGONES, ET AL

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

GROUP 2100

*EXAMINER INITIAL	DOCUMENT NUMBER					DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA										
	AB										
	AC										
	AD										
	AE										
	AF										
	AG										
	AH										
	AI										
	AJ										
	AK										

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	RICHARD A. JOHNSON, ET AL, " MULTIVARIATE LINEAR REGRESSION MODELS", APPLIED MULTIVARIATE STATISTICAL ANALYSIS, 2ND EDITION, CHAPTER 7, PP. 273-339., 1988.
AS	
AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.